

|   |  |   |   |
|---|--|---|---|
| FORM PTO-1390<br>(REV. 10-2003)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br>CA920020005US1                          |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/508883</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/GB 03/01342  | INTERNATIONAL FILING DATE<br>20 March 2003 | PRIORITY DATE CLAIMED<br>27 March 2002                  |   |
| TITLE OF INVENTION Method and System For Establishing a Hierarchically Structured<br>Web Site For E-Commerce  |  |   |   |
| APPLICANT(S) FOR DO/EO/US<br>Hendra Suwanda   |  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p> |  |   |   |

|  |  |  |  |  |  |
|--|--|--|--|--|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.53)<br><b>10/508883</b> |  | INTERNATIONAL APPLICATION NO.<br>PCT/GB 03/01342 |  | ATTORNEY'S DOCKET NUMBER<br>CA920020005US1 |  |
|--|--|--|--|--|--|

|   |              |              |                  |                                  |    |
|---|--------------|--------------|------------------|----------------------------------|----|
| 21 <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO. .... <b>\$1080.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b><br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b><br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |              |                  | <b>CALCULATIONS PTO USE ONLY</b> |    |
|   |              |              |                  | \$920.00                         |    |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |                  | \$                               |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE             | \$                               |    |
| Total claims  | 12 - 20 =    |              | x <b>\$18.00</b> | \$                               |    |
| Independent claims  | 2 - 3 =      |              | x <b>\$86.00</b> | \$                               |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + <b>\$290.00</b>   |              |              |                  | \$290.00                         |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |                  | <b>\$1210.00</b>                 |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2.   |              |              |                  | \$                               |    |
| <b>SUBTOTAL =</b>   |              |              |                  | <b>\$ 1210.00</b>                |    |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |                  | \$                               |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |                  | <b>\$ 1210.00</b>                |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +  |              |              |                  | \$                               |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |                  | <b>\$ 1210.00</b>                |    |
|   |              |              |                  | Amount to be<br>refunded:        | \$ |
|   |              |              |                  | charged:                         | \$ |

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 09-0461 in the amount of \$ 1210.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

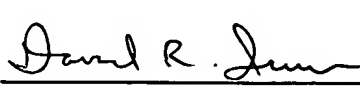
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
 or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

David R. Irvin  
 IBM Corp. T81/B503  
 P. O. Box 12195  
 Research Triangle Park, NC 27709

  
 SIGNATURE  
 David R. Irvin  
 NAME  
 42,682  
 REGISTRATION NUMBER

10/508883

DT15 Rec'd PCT/PTO 23 SEP 2004

Docket: CA920020005US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Hendra Suwanda

Serial No.: Not yet assigned

Filed: Herewith

For: Method and System For Establishing a Hierarchically Structured Web Site For E-Commerce

Mail Stop PCT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EV413406592US

Date of Deposit: September 23, 2004

**WE REQUEST THE DATE OF DEPOSIT AS THE DATE FILED.**

I hereby certify that the following **attached** correspondence comprising:

1. Transmittal Letter to the US Designated/Office Concerning A Filing under 35 U.S.C. 371 (in duplicate)
2. Declaration and Power of Attorney
3. Information Disclosure Statement
4. PTO Form 1449 and References
5. Business Reply Card

is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under CFR 1.10 on the date indicated above and is addressed to:

**Mail Stop PCT  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

Saundra S. Christopher  
(Name of person mailing paper or fee)

Saundra S. Christopher  
Signature of person mailing paper or fee)